

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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	) Confirmation No.: 5428
	)
	) Group Art Unit: 3695
Applicants: WEBER ET AL.	)
	) Examiner: Gregory A. Pollock
Application No.: 10/753,069	)
	) <b>AMENDMENT and RESPONSE</b> to
Filing Date: 1/8/2004	) December 20, 2010 Non-Final Office Action
	)
For: SYSTEMS AND METHODS FOR	) Docket No.: N09.005
TRADING ACTIVELY MANAGED	)
FUNDS	) <b>PTO Customer Number 28062</b>
	) Buckley, Maschoff & Talwalkar LLC
	) 50 Locust Avenue
	) New Canaan, CT 06840
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Mail Stop Amendment (via EFS)  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Non-Final Office Action mailed December 20, 2010, please amend the above-identified application as follows:

**Amendments to the Abstract** are reflected in the replacement paragraph which begins on page 2 of this paper.

**Amendments to the claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks** begin on page 7 of this paper.